

Account Information Change and/or Margin/Option Application (Individuals)

A Accour	nt Inform	nation (Thi	s ap	plica	tion	may be u	sed for multip	ole regular ar	nd registered	plan acc	counts w	ith the	same reg	istration)			
Client/Househol	d name																
Understanding ar							Investment ok account. Reviev	pjectives & Risk for the ScotiaMcLed	factors that reflect od Terms and Cond	your intenditions brock	ded use for t	this			ypes Select a		
Securities regulations require that we have complete and accurate information from our clients.						Investment objectives (Must total 100%)			Risk factors (Must total 100%)			each account. Non-registered accounts may use any of the options (codes 1-5) *Registered accounts may use Purchasing Puts & Calls (Code 1) and Covered Calls (Code 2)					
Account Type (Select one)	Accoun	t Number	Т	С	L*	Advisor Code	% Income	% Long Term Capital Appreciation	% Short Term Capital Appreciation/ Speculative Trading	% Low	% Med	% High	Purchasing Puts & Calls (Code 1)*	Covered Calls (Code 2)*	Spreads (Code 3)	Naked Puts (Code 4)	Naked Calls (Code 5)
Non-registered Registered									3								The same state of the same sta
Non-registered Registered																	
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Non-registered Registered		and reasons an application and the Public Reliability Reliability Reliability Reliability Reliability Reliabili															
B Individ	lual appl	icant, ITF	Tru	ıste	ee o	r prima	ry contac	t in a joir	nt regular	accou	int Inf	ormat	ion (Ma	indatory)			
Title Fi	rst name and	middle initial						Last	iame								
Home address (number, stree	t, apartment,	rural	rout	e) (P.C). boxes are	not acceptable	e) City	- CONTENTED AND AND AND AND AND AND AND AND AND AN		Province	Po	ostal code		Count	<mark>ry</mark>	
You are: O single O married O common-law O divorced O widowed O legally separated						Numb	Number of dependents Language English French Home phone number						mber	1.5 Service Constitution			
You are a citizer Canada Other count	USA	**US persor	nust p	orovio Iude	de SSI US c	V and compitizens (incl	olete and sign F uding persons	with dual citize	enship), US resion than 183 days				the USA,	US lawful	permanei	nt resident	S
Canadian SIN USA SSN/TIN Other co				ountry tax num	untry tax number For tax purposes, I am a resident of the fo				following	g country	Since	what date	? (mm-dd-	-уууу)			
Name of employ	yer (if retired,	former emplo	oyer)	-A-L CARLES			oppgrande record processes de proposition de la entre en	What	kind of busines	ss is it?							. 4 40.00 Fig.
Employer's addr	ess						-	City			Province	Po	ostal code		Count	<mark>ry</mark>	, subag
What is your cu	rrent position	/occupation?	S. S. Promotostalphop								t the deal retrief to be and at the confidence	Н	ow long?	Busine	ess phone	number	Ext.
Approximate	e annual g	ross income	and	d ne	t wo	orth exclu	ıding persor	nal residenc	e (Regulatory	require	ment)						
Approx. annual							rities less loans)	SECURIOR SEC	Net fixed asse			ies)	= C Tot	al net wor	rth (A + B)		
\$							\$ \$							**************************************			

^{*}Legend Code: **H** - Add Margin (not applicable for registered accounts) **HM** - Add Margin/Modify information (not applicable for registered accounts) **X** - Advisor Change/Modify information **Y** - Modify information **Z** - Advisor Change

Account Information Change and/or Margin/Option Application (Individuals)

Investment know	wledge	Regulatory requ	irement)										
Mutual funds	Fix	ed income	Stock	(S	Margin		Options	And the second s	Short sales	- 1	Overall		
○ High	0	High	Он	igh	○ Hig	h	O High		○ High		High		
○ Moderate	0) Moderate) Moderate		derate	○ Modera	te	○ Moderate		○ Moderate		
O Low/none	0	Low/none	OL	ow/none	O Low	//none	○ Low/no	ne	O Low/none		○ Low/none		
Relationship Dis	closures	(Regulatory requ	uirement)										
Are you, or your s	pouse, a	deemed inside	r (as defir	ned in the Pro	vincial Secur	ities Acts) of	f any public com	npanies?		M 10. 10 M (Section 1) and 17 (Section 1)			
○ No ○ Yes - If ye	es, enter	the company na	mes here										
Are you, or your s	pouse, si	ngularly, or as pa	art of a gr	oup, in a con	trol positio	n (as define	d in the Provinc	ial Securities	Acts) of any pu	blic com	panies?		
○ No ○ Yes - If ye													
Are you, or your s					icer of a me	mber of any	stock exchange	e, IIROC mem	ber, or of a sto	ck excha	inge itself?		
○ No ○ Yes - If ye													
Spousal informa					common-la	w and your	snause is not th	ne joint annlic	ant (section C)	, Alaba			
	N \$ 11 0.79 * 1 2.70 00 00 07 180 000 0	A substitute contract.	ou are illa	Thea or living	COMMON-Id	-	the state of the s	.e Journ applic		Alemanton	enterente, una comp e en el lado de Talada de Talada e Calada de C		
First n	arne and r	niddle initial				Last name							
Employer and type of	of business	WAR A STATE OF THE PARTY OF THE				Position/o	ccupation				ende ferene mangemby to "Balahat Still (1920) (1936) finish dalam habat was 'to a still constitution (1936) (1936) (1936)		
						A comment							
C Joint app	licant i	nformation	(Mandato	ory, if applicat	ole)								
THE REAL PROPERTY.	AND SOME	niddle initial		a delegación de la companio como es afra (co. 44 delegación		Last name		100 m 100 m		TOTAL CLICATE STATE			
			des trapits man ifest à suit systematiq de actualisation de actual	modelně ně prá v veli ně v ku ř. n. v v vá vá v nem v němenno prv. V nev pro-	· Daw said * April 10 - Made of the control of the control of the								
Home address (num Same as primar	nber, street, apartment, rural route) (P.O. boxes are <u>not</u> accepta try applicant				acceptable)	City	City		Postal code		Country		
	○ single					Number o	Number of dependents Language English From			Home phone number			
You are a citizen of:		Are you a US pers	on?** ()	No O Yes				and allahabababababababababababababababababa					
○ Canada ○ U	ISA	If yes, you must pr	rovide SSN	and complete a	and sign Form	CA W-9.				15 lave de la			
Other country		**US persons incli (e.g. Green Card h	ude: US citi nolders) or	izens (including persons who re	persons with i	dual citizenshi for more thar	ip), US resident ali n 183 days during	ens, persons bo the calendar ye	orn in the USA, C ear.	12 Iawtul k	permanent residents		
Canadian SIN		USA SSN/TIN		Other country			rposes, I am a resi		The state of the s	Since w	hat date? (mm-dd-yyyy)		
Name of employer (if retired, f	ormer employer)				What kind	of business is it?						
Employer's address		ara sagar biran sa magagan angan samun pengagan sa yangi dimenda dipuntun samun ba	to the second se	A		City		Province	Postal code		Country		
What is your current	t position/	occupation?	etter dette Taphettering dettermente des de de 1 (1) higher	org. n. standrussephendigsseglingssigskriptingssigdendierindskriperingskriptindskriperingskriperingskripering		reacon de la companya				How long? Business pho			
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Approximate an	nual gro	oss income and	net wor	th excluding	personal re	e <mark>sidence</mark> (R	egulatory requir	rement)					
Approx. annual gros	ss income	A Net liq	uid assets (cash/se curities l	ess loans)	+ B Net fi	xed assets (fixed le	ess liabilities)	= C Total	= C Total net worth (A + B)			
\$		\$				\$			\$				
Investment kno	wledge	(Regulatory requ	irement)										
Mutual funds	Fix	New York		cks Margin		Options			Short sales		Overall		
○ High	0			ligh O High		h	○ High		○ High		○ High		
○ Moderate	0			Moderate	O Mo	derate	○ Modera	ite	○ Moderate		○ Moderate		
O Low/none	0	Low/none	OL	ow/none	O Low	v/none	○ Low/no	ne	O Low/none		O Low/none		
Relationship Dis	sclosures	(Regulatory req	uirement)										
Are you, or your s	spouse, a	deemed inside	er (as defi	ned in the Pro	ovincial Secur	ities Acts) o	f any public con	npanies?					
○ No ○ Yes - If y	es, enter	the company na	ames here	*									
Are you, or your s	spouse, si	ngularly, or as p	art of a g	roup, in a cor	ntrol positio	n (as define	ed in the Provinc	ial Securities	Acts) of any pu	ıblic com	panies?		
○ No ○ Yes - If y													
Are you, or your s					ficer of a me	mber of any	stock exchange	e, IIROC mem	ber, or of a sto	ock excha	ange itself?		
○ No ○ Yes - If y													
820 1090 (01/13)					Original - I	Branch Copy	- Client				Page 2		

Account Information Change and/or Margin/Option Application (Individuals)

Title	First name and middle initial	processor and management of parties to prove the control of the co								
ittie.	This thanke and middle mittar		Last name							
Employer	and type of business		Position/occupation							
D Co	nfirms and statements									
Interest	red party(ies) only (if applicable)	Companies and Audit (Commission Trigger Commission Refer 1989), at 1 That are \$1.75 for the \$1.95 for discount of these foreign and the following the foreign and the following the foll	ALE PALLOY CV SILE GAME GAME CANADAN							
	of confirms required Number of statement of the statemen	ents required	Interested party(id	es) name(s)						
Home ad	dress (number, street, apartment, rural route) (f	P.O. boxes are <u>not</u> acceptable)	City	Province	Postal code	Country				
E Ap	plying for an option account	(Non-registered option applica	ant(s) must sign m	argin agreement in se	ection F below)					
only) or (Advisor	leting the Option Transaction Types part of CA17A form and you understand the risks may email this application to D.R.O.P. for yed, an email will be sent to you confirming	defined in the Options Disclost temporary approval. Approva	ure Statement atta al must be granted	ched to the CA17 (Quid before first trade.	uebec residents only					
F M	argin and/or Option applicant	s must sign this section	on (Signature(s) r	equired)						
to All to All I am a to All I am a Please no corrowing repay the	ng here I (defined below in Section G) con applying for a Margin Account and have Accounts section in the ScotiaMcLeod Te aware of the risk involved in trading on note that the use of leverage may not be so ing, to finance the purchase of securities in the loan, pay interest, and meet margin call	read, understood and agreed erms and Conditions brochure hargin and am willing to take suitable for all investors. Using nvolves greater risk than using its as required by the margin to	to the Margin Tere. those risks. g borrowed mone g cash resources of	rms subsection within y, whether through a only. If you borrow mo same even if the valu	the General Term margin account o oney to purchase s de of the securities	r any other method of ecurities, your responsibility purchased declines.				
-	gnature of primary applicant/annuitant	Date (mm-dd-yyyy)	-	ture of joint applicant (if	CONTRACTOR OF THE PARTY OF THE	Date (mm-dd-yyyy)				
G WI	hat you agree to when you sig	gn this application (Sig	nature(s) required							
 All of accounts I under control of oth Canal If I am best of immediates Accounts 	the information in this application is contint in the relevant sections of the Scotian erstand that the terms and conditions of act between ScotiaMcLeod and me and governments are softed and the bend and the second and the bend and the second may incur in connection with units of ScotiaMcLeod clients are covered to indicated for this account add an account a	Inplete and accurate and I have Accepted Terms and Conditions this application and of the So govern operation of this accordicted and me as the nature accepted and me as the nature accepted and for tax purposes ("Tax Refits of the tax treaty, if any, loges to my Tax Residency, and ander withholding of tax based by The Canadian Investor	re read, understood brochure. cotiaMcLeod Terms unt. They may be of the account massidency"), I hereby between Canada a further agree to don my instruction reprotection Function	d and agreed to all of and Conditions brocks applemented by writing require. To confirm and certify and my Tax Residency fully reimburse and income. To continuously the conditions are appleaded to the conditions and the conditions are also and the conditions are and the conditions are and the conditions are are also and the conditions are also are also are also and the conditions are also are also and the conditions are also are also are also and the conditions are also are a	that I am the bend on all of this accordenced.	ated into and form part of that not replaced by the terms eficial owner of and, to the bunt's income. I agree to Leod for any liability that				
	gnature of primary applicant/annultant	Date (mm-dd-yyyy)	-	ture of Joint applicant (if		Date (mm-dd-yyyy)				
H Fo	r internal use only (To be complet	ed by your Wealth Advisor ar	nd Branch Manage	r)						
N. P. Carlot	account information change documentat									
Signature	of Advisor(s)					Date (mm-dd-yyyy)				
в.М. арр	roval	R.N	M. approval			Date (mm-dd-yyyy)				
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